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The Wealth Company Mutual Fund

## DEFINITIONS/INTERPRETATION

1	<b>Aadhaar</b>	Aadhaar number issued by the Unique identification Authority of India (UIDAI)
2	<b>Allotment of Units</b>	For Subscriptions received at the DISC's within the cut-off timings and considered accepted for that day, the units will be allotted on the T day. Where the T day is the transaction day, provided the application is received within the cut-off timings for the transaction day.
3	<b>Applicable Net Asset Value (NAV)</b>	Applicable NAV is the Net Asset Value per Unit at the close of the Business Day on which the application for purchase or redemption/switch is received at the designated investor service centre and is considered accepted on that day. An application is considered accepted on that day, subject to it being complete in all respects and received prior to the cut-off time on that Business Day.
4	<b>AMFI</b>	Association of Mutual Funds in India, the apex body of all the registered AMCs incorporated on August 22, 1995 as a non-profit organization.
	<b>ARN</b>	AMFI Registration Number
5	<b>Arbitrage</b>	Arbitrage implies taking advantage of a difference in price of the same item in two different situations, at the same time, which enables one to buy at a cheaper price and sell the same at a higher price, resulting in profit. In capital markets, arbitrage means a transaction that involves buying of a security in one market and selling the same in another market and locking in the profit. For the purpose of the Investment Strategy, it would mean buying equity and equity related instruments in the cash or spot market and selling the same in the forward or futures market and locking in the spread also known as arbitrage return.
6	<b>Asset Management Company (AMC)/ Investment Manager</b>	Wealth Company Asset Management Holdings Private Limited, the Asset Management Company incorporated under the Companies Act, 2013, and authorized by SEBI to act as the Investment Manager to the Investment Strategies of WSIF.

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7	<b>Business Day/ Working Day</b>	<p>A Business Day/Working Day means any day other than:</p> <ol style="list-style-type: none"><li>1. Saturday and Sunday; or</li><li>2. a day on which The Bombay Stock Exchange, Mumbai or National Stock Exchange Limited or Reserve Bank of India or Banks in Mumbai are closed; or</li><li>3. a day on which there is no RBI clearing/settlement of securities; or</li><li>4. a day which is a public and /or bank Holiday at an Investor Service Centre/Official Point of Acceptance</li><li>5. where the application is received;</li><li>6. a day on which the sale and/or redemption and /or switches of Units is suspended by the Trustees or AMC or</li><li>7. a book closure period as may be announced by the Trustees/Asset Management Company or</li><li>8. a day on which normal business could not be transacted due to storms, floods, or bandhs, strikes or any other events as the AMC may specify from time to time.</li></ol> <p>The AMC reserves the right to declare any day as a Business Day or otherwise at any or all DISC.</p>
8	<b>Business Hours</b>	Presently 9.30 a.m. to 5.30 p.m. on any Business Day or such other time as may be applicable from time to time.
9	<b>CDSL</b>	Central Depository Services (India) Limited
10	<b>Collecting Bank</b>	Branches of Banks for the time being authorized to receive application(s) for units, as mentioned in this document.
11	<b>Continuous Offer</b>	Offer of the Units when the Investment Strategy becomes open-ended after the closure of the New Fund Offer.
12	<b>Consolidated Account Statement ("CAS")</b>	Consolidated Account Statement contain details relating to all Purchases, redemptions, switches, "IDCW Payouts", "IDCW Reinvestments", SIPs, SWPs and STPs ("Transactions") carried out by the investor across all Investment Strategies of all SIF during the month and holding at the end of the month.
13	<b>Custodian</b>	<p>Custodian means a person who has been granted a certificate of registration to carry on the Business of custodian of securities under the Securities and Exchange Board of India (Custodian of Securities) Regulations, 1996.</p> <p>Presently, HDFC Bank, registered vide registration number IN/CUS/001 is appointed as Custodian of securities for all the Investment Strategies of WSIF, or any other custodian as may be appointed by the Trustees.</p>
14	<b>Depository</b>	Depository as defined in the Depositories Act, 1996 (22 of 1996).
15	<b>Derivative</b>	Derivative includes (i) a security derived from a debt instrument, share, loan whether secured or unsecured, risk instrument or

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		contract for differences or any other form of security; (ii) a contract which derives its value from the prices or index of prices of underlying securities.
16	<b>Designated Investor Service Centres (DISC)/ (Official point of acceptance for transaction)</b>	Any location as may be defined by the Asset Management Company from time to time, where investors can tender the request for subscription, redemption or switching of units, etc.
17	<b>Income Distribution cum Capital Withdrawal (IDCW)</b>	Income distributed by the Investment Strategy on the Units.
18	<b>DP</b>	Depository Participant means a person registered as such under sub-regulation (1A) of section 12 of SEBI Act, 1992 (15 of 1992).
19	<b>Entry Load</b>	Load on subscriptions/switch in.
20	<b>Equity Related Instruments/ Securities</b>	equity related instruments" include convertible debentures, convertible preference shares, warrants carrying the right to obtain equity shares, equity derivatives, units of Real Estate Investment Trust and such other instrument as may be specified by the Board from time to time
21	<b>Exit Load</b>	Load on redemptions/switch out.
22	<b>InvITs or Infrastructure Investment Trust</b>	InvITs are companies that own infrastructure assets.
23	<b>Investment Management Agreement (IMA)</b>	The Agreement entered into between Trustee Company and AMC has been appointed the Investment Manager for managing the funds raised by The Wealth Company Mutual Fund under the various Schemes and all amendments thereof.
24	<b>KIM</b>	Key Information Memorandum as required in terms of clause 29(4) of SEBI (MF) Regulation.
25	<b>Large Cap Companies</b>	Large cap Companies means 1st - 100th company in terms of full market capitalization or such other companies as may be specified by SEBI from time to time.
26	<b>Load</b>	A charge that may be levied as a percentage of NAV at the time of entry into the Investment Strategy/plans or at the time of exiting from the Investment Strategy/ plans.
27	<b>Local Cheque</b>	A Cheque handled locally and drawn on any bank, which is a member of the banker's clearing house located at the place where the application form is submitted.
28	<b>Mid Cap Companies</b>	Mid cap companies mean 101st - 250th company in terms of full market capitalization or such other companies as may be specified by SEBI from time to time.
29	<b>Money Market Instruments</b>	As per Clause 45 U.(b) of RBI Act, 1934, "money market instruments" include call or notice money, term money, repo,

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		reverse repo, certificate of deposit, commercial usance bill, commercial paper and such other debt instrument of original or initial maturity up to one year as the Bank may specify from time to time
30	<b>Net Asset Value (NAV)</b>	Net Asset Value of the Units in each plan of the Investment Strategy is calculated in the manner provided in this Investment Strategy Information Document or as may be prescribed by Regulations from time to time. The NAV will be computed upto two decimal places.
31	<b>No Load Investment Strategy</b>	An Investment Strategy where there is no initial Entry or Exit Load.
32	<b>NRI</b>	Non-Resident Indian. Person resident outside India who is either a citizen of India or a Person of Indian Origin.
33	<b>NSDL</b>	The National Securities Depository Limited
34	<b>PIO</b>	Person of Indian Origin. A citizen of any country other than Bangladesh or Pakistan, if (a) he at any time held an Indian passport; or (b) he or either of his parents or any of his grandparents was a citizen of India by virtue of Constitution of India or the Citizenship Act, 1955 (57 of 1955); or (c) the person is a spouse of an Indian citizen or person referred to in sub-clause (a) or (b).
35	<b>Purchase Price/ Subscription Price</b>	Purchase Price to the investor of Units of any of the plans computed in the manner indicated in this Investment strategy Information Document.
36	<b>Rating</b>	An opinion regarding securities, expressed in the form of standard symbols or in any other standardized manner assigned by a credit rating agency and used by the issuer of such securities, to comply with any requirement of the SEBI (Credit Rating Agencies) Regulations, 1999 as may be amended from time to time.
37	<b>Redemption Price</b>	Redemption Price to the investor of Units of any of the plans computed in the manner indicated in this Investment Strategy Information Document.
38	<b>“REIT” or “Real Estate Investment Trust”</b>	“REIT” or “Real Estate Investment Trust” shall have the meaning assigned in clause (zm) of sub- regulation 1 of regulation 2 of the Securities and Exchange Board of India (Real Estate Investment Trusts) Regulations, 2014.
39	<b>Registrar</b>	KFin Technologies Limited (KFin), who has been appointed as the Registrar or any other Registrar who is appointed by AMC.
40	<b>Reserve Bank of India (RBI)</b>	Reserve Bank of India, established under the Reserve Bank of India Act, 1934.
41	<b>Statement of Additional Information (SAI)</b>	Statement of Additional Information, the document issued by WSIF containing details of WSIF, its constitution, and certain tax,

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		legal and general information. SAI is legally a part of the Investment Strategy Information Document.
42	<b>SEBI (Mutual Funds) Regulations/ SEBI (MF) Regulations</b>	Securities and Exchange Board of India (Mutual Funds) Regulations, 1996 as amended from time to time and such other regulations (including the Rules, Guidelines or Circulars) as may be in force from time to time to regulate the activities of Mutual Funds.
43	<b>SEBI Master Circular</b>	SEBI Master Circular for Mutual Funds dated June 27, 2024 which is a consolidated compendium of all circulars issued by SEBI till March 31, 2024. (referred to as the SEBI Master Circular)
44	<b>Small Cap Companies</b>	Small cap companies means 251st company onwards in terms of full market capitalization or such other companies as may be specified by SEBI from time to time.
45	<b>Sponsor</b>	Sponsor of WSIF i.e. Pantomath Capital Advisors Private Limited
46	<b>Switching Option</b>	Investors may opt to switch Units between the IDCW Plan and Growth Plan of the Investment Strategy at NAV based prices after completion of lock in period, if any. Switching will also be allowed into/from any other eligible open- ended Investment Strategy of the Fund either currently in existence or an Investment Strategy(ies) that may be launched/ managed in future, as per the features of the respective Investment Strategy.
47	<b>Tri-party repo</b>	Tri-party repo is a type of repo contract where a third entity (apart from the borrower and lender), called a Tri- Party Agent, acts as an intermediary between the two parties to the repo to facilitate services like collateral selection, payment and settlement, custody and management during the life of the transaction.
48	<b>Trustee/ Trustee Company</b>	Pantomath Trustee Private Limited, a Company incorporated under the Companies Act, 2013, and authorized by SEBI and by the Trust Deed to act as the Trustee of The Wealth Company mutual fund.
49	<b>Mutual Fund/ the Fund</b>	The Wealth Company Mutual Fund, a Trust under Indian Trust Act, 1882 and registered with SEBI.
50	<b>Trust Deed</b>	The Trust Deed entered into between the Sponsor and the Trustee, and all amendments thereof.
51	<b>Trust Fund</b>	The corpus of the Trust, unit capital and all property belonging to and/or vested in the Trustee.
52	<b>Unit</b>	The interest of the investors in any of the plans, of the Investment Strategy which consists of each Unit representing one undivided share in the assets of the corresponding plan of the Investment Strategy.
53	<b>Unitholder</b>	A person who holds Unit(s) under the Investment Strategy.

54	<b>Unitholders Record</b>	Unitholders whose names appear on the unitholders register of the concerned plan/(s) on the date of determination of IDCW option, subject to realisation of the cheque.
55	<b>Website</b>	Website of WSIF- <a href="https://www.wealthcompanyamc.in/wsif/">https://www.wealthcompanyamc.in/wsif/</a>
56	<b>Accredited Investor</b>	Accredited Investor shall have the same meaning as assigned to it in clause (ab) of sub-regulation (1) of regulation 2 of the Securities and Exchange Board of India (Alternative Investment Funds) Regulations, 2012
57	<b>Specialized Investment Fund</b>	Specialized Investment Fund means a mutual fund as defined under clause (q) of sub-regulation (1) of regulation 2 of these regulations and subject to such other conditions as specified under this chapter: Provided that a mutual fund registered under regulation 9 shall not be required to establish a separate trust for launching any Investment Strategy under the Specialized Investment Fund
58	<b>Investment Strategy</b>	Investment Strategy means a scheme of mutual fund launched under the Specialized Investment Fund

Words and Expressions used in this Investment Strategy Information Document and not defined would have the same meaning as in Regulations.

#### **INTERPRETATION:**

For all purposes of this Investment Strategy Information Document, except as otherwise expressly provided or unless the context otherwise requires:

- All references to the masculine shall include the feminine and all references to the singular shall include the plural and vice-versa.
- All references to "dollars" or "\$" refer to United States Dollars and "Rs" refer to Indian Rupees. A "crore" means "ten million" and a "lakh" means a "hundred thousand".
- All references to the masculine shall include the feminine and all references to the singular shall include the plural and vice-versa.
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