

**Template for Mis-selling Reporting**

Sr.no	Particulars	Inputs to be filled by AMC
1	Name of the Mutual Fund	The Wealth Company Mutual Fund
2	ARN of the MFD	NIL
3	Name of the MFD	NIL
4	Name of the employee of the MFD involved	NIL
5	EUIN of the employee of the MFD involved	NIL
6	Source of the complaint	NIL
7	Nature of Mis-Selling observed by the AMC	NIL
8	Summary of the incident	NIL
9	Amount of investment Involved in the incident	NIL
10	Corrective Action taken by the AMC prevent recurrence	NIL
11	Code of Conduct Violation (other than mis-selling) if any	NIL
12	Action taken by the AMC against the errant MFD	NIL